



IN THE COLLEGE TATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shuichi HIRUKAWA et al.

Serial No.: 10/788,411

Filing Date: March 1, 2004

For: SEMICONDUCTOR LASER DEVICE

AND OPTICAL DISC UNIT

Examiner: Not Yet Assigned

Group Art Unit: 2828

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO/SB/08a/b were cited in a Chinese Office Action mailed on July 22, 2005, directed to a counterpart foreign application. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is submitted before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

Application No. 10/788,411

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing (204552031900).

Dated: September 9, 2005

Respectfully submitted,

Barry E. Bretschneider

Registration No.: 28,055 MORRISON & FOERSTER LLP

1650 Tysons Blvd, Suite 300

McLean, Virginia 22102

(703) 760-7743

PATTENT OF 1005 SE	NO 100	. ALTERNATIVE TO PTO/SB/08a/b (0		
MARKO		Complete if Known		
Substitute for form 1449/PTO		Application Number	10/788,411	
INFORMATION DISC	CLOSURE	Filing Date	March 1, 2004	
STATEMENT BY APPLICANT		First Named Inventor	Shuichi Hirukawa	
		Art Unit	2828	
(Use as many sheets as neo	cessary)	Examiner Name	Not yet assigned	
Sheet 1 of	1	Attorney Docket Number	204552031900	

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
	No.1	Number-Kind Code ² (if known)			Applicant of Cited Document	Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages		
	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)		Applicant of Cited Document	or Relevant Figures Appear		
	1.	JP-11-112087-A	4-23-1999		abstract		
	2.	JP-2002-217493-A	8-2-2002		abstract		
	3.	JP-10-215021-A	8-11-1998		abstract		

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Sent Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Sent Codes of USPTO Patent Documents, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Topolish is attached. Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	4.	Chinese Office Action dated July 22, 2005, issued in counterpart foreign application	1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.